# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPR	OVAL	
OMB Number:	3235-0	287
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nours per response	e	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)  1. Name and Address of Reporting Person * Harding Roger G			2. Issuer Name and Ticker or Trading Symbol Clearfield, Inc. [clfd]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner							
7050 WI	/	(First) AVE. N. SU	(Middle) UITE 100	3. Date of Earliest 02/28/2020	Transactio	n (Mo	onth/Day	/Year)					Other (specify below)	
BROOK	LYN PAR	(Street) K, MN 5542	28	4. If Amendment,	Date Origi	nal Fi	led(Month	/Day/Year	)					ble Line)
(City		(State)	(Zip)	Ta	ble I - No	ı-Der	ivative S	ecuritie	s Acqu	ired, Disp	osed of, or I	Beneficially	Owned	
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year		2A. Deemed Execution Date, if any	Code (Instr. 8)	· / 1		of (D)	D) Beneficially Owned Following Reported Transaction(s)			Ownership Form: of Indire Benefici	Beneficial			
				(Month/Day/Year)	Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4) Direct (D) or Indirect (I) (Instr. 4)		or Indirect	Ownership (Instr. 4)	
Commor	n Stock		02/28/2020		A		1,166 (1)	A	\$ 0	26,190	6,190		D	
Commor	n Stock		02/28/2020		A		1,500	A	\$ 10.80	27,690		D		
	responding a	eparate inte io	r each class of secur	ines semeneally s.		-	•		and to	the collec	rtion of inf			
				Derivative Securiti	es Acquir	cont the f	ained ir form dis	this fo plays a of, or Be	orm are a curre meficial	ntly valid	uired to res	ormation spond unle rol numbe	ss	1474 (9-02)

## **Reporting Owners**

		Relationsh	ips			
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Harding Roger G 7050 WINNETKA AVE. N. SUITE 100 BROOKLYN PARK, MN 55428	X					

### **Signatures**

Andrew Moengen by Power of Attorney for Roger G. Harding	03/03/2020
Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restrictions on Restricted Stock lapse the first business day prior to the 2021 Annual Meeting of Shareholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.