SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* HAYSSEN CHARLES N					2. Issuer Name and Ticker or Trading Symbol <u>Clearfield, Inc.</u> [CLFD]											ionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner			
(Last)	(First)	,	iddle)		3. Date of Earliest Transaction (Month/Day/Year) 02/24/2023									Officer (give title below)			Other (specify below)		
7050 WINNETKA AVE. N. SUITE 100					4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				,
(Street) BROOKLYN PARK	MN		428												Form file	d by More	than C	one Reportin	g Person
(City)	(State)	(Zi	p)																
		Та	ble I - No	n-Deri	ivativ	e Se	ecuritie	s Acq	uired, I	Disp	osed of,	, or B	Benefi	cially Ow	/ned				
Date					2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(
Common Stock ⁽¹⁾ 02/2					/24/2023				Α		974		Α	\$0	137,	137,821		D	
			Table II - I								sed of, o nvertible				ed				
1. Title of Derivative Security (Instr. 3)	tstr. 3) 2. Conversion or Exercise Price of Derivative Security 2. Conversion or Exercise Price of Derivative Security 2. Conth/Day/Year) A. Deemed Execution Date, if any (Month/Day/Year)		ate,	Code (Instr.		Derivat Securit Acquire or Disp	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisa Expiration Date (Month/Day/Year		securities Underl r) Derivative Securi (Instr. 3 and 4)		derlying curity)	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title		Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

1. Restrictions on Restricted Stock lapse the first business day prior to the 2024 Annual Meeting of Shareholders.

Andrew Moengen by Power of Attorney for Charles N. Hayssen	02/27/2023			
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.