SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Wirsbinski Carol Ann</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>Clearfield, Inc.</u> [CLFD] | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | |
|---|--|--|---|--|--|---|--|---|--|---|--------------------|------------------|-------------------|---|--|--|---------------------|--|--|--|
| (Last) (First) (Middle) | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/24/2023 | | | | | | | | | Officer (g below) | ive title | | Other (s below) | specify | | |
| 7050 WINNETKA AVE. N., STE. 100 | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Indiv | dividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | | |
| (Street) BROOKLYN MN 55428 PARK | | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | | | |
| (City) | ty) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Benefic | | | | | | | | | | | | | <u> </u> | | | | | | |
| | | 18 | able I - No | | | | | · · | · · · | Jisp | | · | | | 1 | | | | | |
| Date | | | | | 2. Transaction 2A. Deemed Execution Date, Month/Day/Year) (Month/Day/Year) | | Execution Date, if any | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | Code | v | Amount (A) (D) | | A) or D) | Price | (Instr. 3 and 4) | | | | (1150.4) | | | |
| Common Stock ⁽¹⁾ 02/2 | | | | | 24/202 | 4/2023 | | Α | | 974 | 974 A | | \$ <mark>0</mark> | 1,5 | 1,527 | | D | | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisat Expiration Date (Month/Day/Year) | | te | Securities Under | | derlying surity | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia Owned Following Reported Transacti | e s illy g | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | ode V | (A) | (D) | | | Expiration Date | | | Amount or Number of Shares | | (Instr. 4) | una) | 1(3) | | |

Explanation of Responses:

1. Restrictions on Restricted Stock lapse the first business day prior to the 2024 Annual Meeting of Shareholders.

Taylor Keup by Power of Attorney for Carol A. Wirsbinski

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.